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30 Rec'd PCT/PTO 10 JAN 2000

Dkt. 99215

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

7-1-00

In re Application of:

JEAN-LUC HOFFMANN et al

PCT

Serial No.: 09/403,744

Filed: October 28, 1999

For: METHOD FOR MAKING ALUMINIUM ALLOY STRIPS BY  
CONTINUOUS THIN GAUGE TWIN-ROLL CASTING

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RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements under 35 USC 371 Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), mailed January 1, 2000, enclosed herewith please find a copy of an inventors' declaration filed on January 3, 2000, the cover letter accompanying same, and a filing receipt card evidencing the filing.

A copy of the Notice is attached.

Respectfully submitted,

  
Ira J. Schultz

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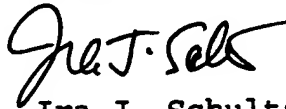
LETTER

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Please find attached hereto the inventors'  
Declaration in the above-identified application.

Respectfully submitted,



Ira J. Schultz  
Registration No. 28666

Due Date \_\_\_\_\_ Docket No. 99215/Pech/IS/cd  
Applicant Jean-Luc HOFFMANN et al Mail Room \_\_\_\_\_ Group \_\_\_\_\_  
SN/PN 09/403,744 ~~09/403,744~~ Other \_\_\_\_\_  
Title METHOD FOR MAKING ALUMINUM ALLOY ~~09/403,744~~ **03 JAN 2000**

XXX Declaration \_\_\_\_\_ Assignment \_\_\_\_\_ Small Entity Statement \_\_\_\_\_  
\_\_\_\_ Priority Document(s) (# \_\_\_\_\_)

XX IDS yes 1449 # of Docs: 3 \_\_\_\_\_ Req for Correx Filing Rec \_\_\_\_\_  
\_\_\_\_ Restriction Response \_\_\_\_\_ OA Response \_\_\_\_\_  
\_\_\_\_ Preliminary/Supplemental Amdt \_\_\_\_\_ Letter \_\_\_\_\_  
\_\_\_\_ Terminal Disclaimer \_\_\_\_\_ AAFR \_\_\_\_\_  
\_\_\_\_ Notice of Appeal \_\_\_\_\_ Appeal brief \_\_\_\_\_  
\_\_\_\_ Petition (type) \_\_\_\_\_ **0007 1-NVF** \_\_\_\_\_  
\_\_\_\_ Request for \_\_\_\_\_ mth EOT \_\_\_\_\_ Req for Cert of Correx \_\_\_\_\_  
\_\_\_\_ Drawings \_\_\_\_\_ No. of sheets \_\_\_\_\_ Maint Fee \$ \_\_\_\_\_  
\_\_\_\_ Issue Fee \$ \_\_\_\_\_  
\_\_\_\_ Other Fees \$ \_\_\_\_\_ For \_\_\_\_\_  
\_\_\_\_ Total Fees \$ \_\_\_\_\_ Check \_\_\_\_\_ Deposit Acct \_\_\_\_\_  
\_\_\_\_ Other \_\_\_\_\_

09/403744

1742



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

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JAN 6 2007

ARLINGTON VIRGINIA 22202

U.S. APPLICATION NO. 07/240,374

HOFFMAN

FIRST NAMED APPLICANT

97218

INTERNATIONAL APPLICATION NO.

PCT/FR98/00965

I.A. FILING DATE

PRIORITY DATE

05/14/98

05/20/97

DATE MAILED:

01/04/00

5611  
DENNISON MESEROLE SCHEINER & SCHULT  
612 CRYSTAL SQUARE 4  
1745 JEFFERSON DAVIS HIGHWAY  
ARLINGTON VA 22202

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),  
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☒ a non-English language.

☐ English.

☐ Translation of the international application into English.

☐ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☒ Preliminary amendment(s) filed 28 OCT 1999 and \_\_\_\_\_

☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed \_\_\_\_\_

☐ Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

Enclosed: ☐ PCT/DO/EO/917

☐ Notice of Defective Translation

☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Vonda Wallace

Telephone: (703) 305-1111

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